

Substitute Form PTO-1449 (Modified)				U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. <b>06897-P007</b>		Application No. <b>10/815,239</b>	
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))				Applicant <b>Ratinder Paul Ahuja, et al.</b>		Filing Date  <b>March 30, 2004</b>		Group Art Unit <b>2431</b>	
				Confirmation No. <b>8137</b>					
<b>U.S. Patent Documents</b>									
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate		
	1	4,286,255	08/25/1981	Siy					
	2	4,710,957	12/01/1987	Bocci et al.					
	3	5,465,299	11/07/1995	Matsumoto et al.					
	4	5,557,747	09/17/1996	Rogers et al.					
	5	5,768,578	06/16/1998	Kirk					
	6	5,781,629	07/14/1998	Haber et al.					
	7	5,943,670	08/24/1999	Prager					
	8	5,995,111	11/30/1999	Morioka et al.					
	9	6,078,953	06/20/2000	Vaid et al.					
	10	6,192,472	02/20/2001	Garay et al.					
	11	6,243,091	06/05/2001	Berstis					
	12	6,292,810	09/18/2001	Richards					
	13	6,356,885	03/12/2002	Ross et al.					
	14	6,408,294	06/18/2002	Getchius et al.					
	15	6,499,105	12/24/2002	Yoshiura et al.					
	16	6,515,681	02/04/2003	Knight					
	17	6,523,026	02/18/2003	Gillis					
	18	6,539,024	03/25/2003	Janoska et al.					
	19	6,598,033	07/22/2003	Ross et al.					
	20	6,662,176	12/09/2003	Brunet et al.					
	21	6,691,209	02/10/2004	O'Connell					
	22	6,771,595	08/03/2004	Gilbert et al.					
	23	6,772,214	08/03/2004	McClain et al.					
	24	6,785,815	08/31/2004	Serret-Avila et al.					
	25	6,820,082	11/16/2004	Cook et al.					
	26	6,937,257	08/30/2005	Dunlavy					
Examiner Signature				Date Considered					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

Substitute Form PTO-1449 (Modified)				U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. <b>06897-P007</b>		Application No. <b>10/815,239</b>	
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))				Applicant <b>Ratinder Paul Ahuja, et al.</b>		Filing Date  <b>March 30, 2004</b>		Group Art Unit <b>2431</b>	
				Confirmation No. <b>8137</b>					
<b>U.S. Patent Documents</b>									
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate		
	27	6,950,864	09/27/2005	Tsuchiya					
	28	7,020,654	03/28/2006	Najmi					
	29	7,020,661	03/28/2006	Cruanes et al.					
	30	7,072,967	07/04/2006	Saulpaugh et al.					
	31	7,158,983	01/02/2007	Willse et al.					
	32	7,185,192	02/27/2007	Kahn					
	33	7,243,120	07/10/2007	Massey					
	34	7,246,236	07/17/2007	Stirbu					
	35	7,254,562	08/07/2007	Hsu et al.					
	36	7,266,845	09/04/2007	Hypponen					
	37	7,277,957	10/02/2007	Rowley et al.					
	38	7,290,048	10/30/2007	Barnett et al.					
	39	7,296,070	11/13/2007	Sweeney et al.					
	40	7,299,277	11/20/2007	Moran et al.					
	41	7,373,500	05/13/2008	Ramelson et al.					
	42	7,424,744	09/09/2008	Wu et al.					
	43	7,426,181	09/16/2008	Feroz et al.					
	44	7,434,058	10/07/2008	Ahuja et al.					
	45	7,477,780	01/13/2009	Boncyk et al.					
	46	7,483,916	01/27/2009	Lowe et al.					
	47	7,493,659	02/17/2009	Wu et al.					
	48	7,506,055	03/17/2009	McClain et al.					
	49	7,509,677	03/24/2009	Saurabh et al.					
	50	7,516,492	04/07/2009	Nisbet et al.					
	51	2001/0037324	11/01/2001	Agrawal et al.					
	52	2002/0032677	03/14/2002	Morgenthaler et al.					
Examiner Signature				Date Considered					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

Substitute Form PTO-1449 (Modified)				U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. <b>06897-P007</b>		Application No. <b>10/815,239</b>	
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))				Applicant <b>Ratinder Paul Ahuja, et al.</b>		Filing Date  <b>March 30, 2004</b>		Group Art Unit <b>2431</b>	
				Confirmation No. <b>8137</b>					
<b>U.S. Patent Documents</b>									
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate		
	53	2002/0052896	05/02/2002	Streit et al.					
	54	2002/0078355	06/20/2002	Samar					
	55	2002/0091579	07/11/2002	Yehia et al.					
	56	2002/0107843	08/08/2002	Biebesheimer et al.					
	57	2002/0116124	08/22/2002	Garin et al.					
	58	2002/0126673	09/12/2002	Dagli et al.					
	59	2002/0159447	10/31/2002	Carey et al.					
	60	2003/0009718	01/09/2003	Wolfgang et al.					
	61	2003/0028774	02/06/2003	Meka					
	62	2003/0046369	03/06/2003	Sim et al.					
	63	2003/0053420	03/20/2003	Duckett et al.					
	64	2003/0099243	05/29/2003	Oh et al.					
	65	2003/0131116	07/10/2003	Jain et al.					
	66	2003/0135612	07/17/2003	Huntington					
	67	2003/0185220	10/02/2003	Valenci					
	68	2003/0196081	10/16/2003	Savarda et al.					
	69	2003/0204741	10/30/2003	Schoen et al.					
	70	2003/0221101	11/27/2003	Micali					
	71	2003/0225796	12/04/2003	Matsubara					
	72	2003/0225841	12/04/2003	Song et al.					
	73	2003/0231632	12/18/2003	Haerberlen					
	74	2004/0059736	03/25/2004	Willse et al.					
	75	2004/0071164	04/15/2004	Baum					
	76	2004/0111678	06/10/2004	Hara					
	77	2004/0114518	06/17/2004	MacFaden et al.					
	78	2004/0120325	06/24/2004	Ayres					
Examiner Signature				Date Considered					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

Substitute Form PTO-1449 (Modified)				U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. <b>06897-P007</b>		Application No. <b>10/815,239</b>	
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)				Applicant <b>Ratinder Paul Ahuja, et al.</b>		Filing Date <b>March 30, 2004</b>		Group Art Unit <b>2431</b>	
						Confirmation No. <b>8137</b>			
<b>U.S. Patent Documents</b>									
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate		
	79	2004/0122863	06/24/2004	Sidman					
	80	2004/0181513	09/16/2004	Henderson et al.					
	81	2004/0194141	09/30/2004	Sanders					
	82	2004/0196970	10/07/2004	Cole					
	83	2004/0230572	11/18/2004	Omoigui					
	84	2004/0267753	12/30/2004	Hoche					
	85	2005/0004911	01/06/2005	Goldberg et al.					
	86	2005/0021743	01/27/2005	Fleig et al.					
	87	2005/0022114	01/27/2005	Shanahan et al.					
	88	2005/0027881	02/03/2005	Figueira et al.					
	89	2005/0033747	02/10/2005	Wittkotter					
	90	2005/0033803	02/10/2005	Vleet et al.					
	91	2005/0050205	03/03/2005	Gordy et al.					
	92	2005/0055327	03/10/2005	Agrawal et al.					
	93	2005/0091443	04/28/2005	Hershkovich et al.					
	94	2005/0091532	04/28/2005	Moghe					
	95	2005/0108244	05/19/2005	Riise et al.					
	96	2005/0114452	05/26/2005	Prakash					
	97	2005/0120006	06/02/2005	Nye					
	98	2005/0131876	06/16/2005	Ahuja et al.					
	99	2005/0132034	06/16/2005	de la Iglesia et al.					
	100	2005/0132046	06/16/2005	de la Iglesia et al.					
	101	2005/0132079	06/16/2005	de la Iglesia et al.					
	102	2005/0132197	06/16/2005	Medlar					
	103	2005/0132198	06/16/2005	Ahuja et al.					
	104	2005/0132297	06/16/2005	Milic-Frayling et al.					
Examiner Signature				Date Considered					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

Substitute Form PTO-1449 (Modified)				U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. <b>06897-P007</b>		Application No. <b>10/815,239</b>	
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))				Applicant <b>Ratinder Paul Ahuja, et al.</b>		Filing Date  <b>March 30, 2004</b>		Group Art Unit <b>2431</b>	
				Confirmation No. <b>8137</b>					
<b>U.S. Patent Documents</b>									
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate		
	105	2005/0138242	06/23/2005	Pope et al.					
	106	2005/0138279	06/23/2005	Somasundaram					
	107	2005/0149504	07/07/2005	Ratnaparkhi					
	108	2005/0166066	07/28/2005	Ahuja et al.					
	109	2005/0177725	08/11/2005	Lowe et al.					
	110	2005/0180341	08/18/2005	Nelson et al.					
	111	2005/0203940	09/15/2005	Farrar et al.					
	112	2005/0228864	10/13/2005	Robertson					
	113	2005/0289181	12/29/2005	Deninger et al.					
	114	2006/0005247	01/05/2006	Zhang et al.					
	115	2006/0021045	01/26/2006	Cook					
	116	2006/0021050	01/26/2006	Cook et al.					
	117	2006/0037072	02/16/2006	Rao et al.					
	118	2006/0041560	02/23/2006	Forman et al.					
	119	2006/0041570	02/23/2006	Lowe et al.					
	120	2006/0041760	02/23/2006	Huang					
	121	2006/0083180	04/20/2006	Baba et al.					
	122	2006/0047675	03/02/2006	Lowe et al.					
	123	2006/0167896	07/27/2006	Kapur et al.					
	124	2006/0242126	10/26/2006	Fitzhugh					
	125	2006/0242313	10/26/2006	Le et al.					
	126	2006/0251109	11/09/2006	Muller et al.					
	127	2006/0288216	12/21/2006	Buhler et al.					
	128	2007/0006293	01/04/2007	Balakrishnan et al.					
	129	2007/0036156	02/15/2007	Liu et al.					
	130	2007/0050334	03/01/2007	Deninger et al.					
Examiner Signature				Date Considered					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

Substitute Form PTO-1449 (Modified)  U.S. Department of Commerce Patent and Trademark Office  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	Attorney's Docket No. <b>06897-P007</b>	Application No. <b>10/815,239</b>
	Applicant <b>Ratinder Paul Ahuja, et al.</b>	
	Filing Date  <b>March 30, 2004</b>	Group Art Unit <b>2431</b>  Confirmation No. <b>8137</b>

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	131	2007/0050381	03/01/2007	Hu et al.			
	132	2007/0110089	05/17/2007	Essafi et al.			
	133	2007/0116366	05/24/2007	Deninger et al.			
	134	2007/0162609	07/12/2007	Pope et al.			
	135	2007/0226504	09/27/2007	de la Iglesia et al.			
	136	2007/0226510	09/27/2007	de la Iglesia et al.			
	137	2007/0248029	10/25/2007	Merkey et al.			
	138	2007/0271254	11/22/2007	de la Iglesia et al.			
	139	2007/0271371	11/22/2007	Ahuja et al.			
	140	2007/0271372	11/22/2007	Deninger et al.			
	141	2007/0280123	12/06/2007	Atkins et al.			
	142	2008/0028467	01/31/2008	Kommareddy et al.			

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	143							
	144							
	145							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	146	U.S. Patent Application Serial No. 12/190,536, filed August 12, 2008, entitled "Configuration Management for a Capture/Registration System," Inventor(s) Jitendra B. Gaitonde et al. (P032)
	147	U.S. Patent Application Serial No. 12/352,720, filed January 13, 2009, entitled "System and Method for Concept Building," Inventor(s) Ratinder Paul Singh Ahuja et al. (004796-1040)
	148	U.S. Patent Application Serial No. 12/354,688, filed January 15, 2009, entitled "System and Method for Intelligent Term Grouping," Inventor(s) Ratinder Paul Ahuja et al. (004796-1041)
	149	U.S. Patent Application Serial No. 12/358,399, filed January 23, 2009, entitled "System and Method for Intelligent State Management," Inventor(s) William Deninger et al. (004796-1042)

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. <b>06897-P007</b>	Application No. <b>10/815,239</b>
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))				Applicant <b>Ratinder Paul Ahuja, et al.</b>	
				Filing Date <b>March 30, 2004</b>	Group Art Unit <b>2431</b>  Confirmation No. <b>8137</b>
<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>					
Examiner Initial	Desig. ID	Document			
	150	U.S. Patent Application Serial No. 12/410,875, filed March 25, 2009, entitled "System and Method for Data Mining and Security Policy Management," Inventor(s) Ratinder Paul Singh Ahuja et al. (004796-1043)			
	151	U.S. Patent Application Serial No. 12/410,905, filed March 25, 2009, entitled "System and Method for Managing Data and Policies," Inventor(s) Ratinder Paul Singh Ahuja et al. (004796-1044)			
	152	Preneel, Bart, "Cryptographic Hash Functions", Proceedings of the 3 <sup>rd</sup> Symposium on State and Progress of Research in Cryptography," 1993, pages 161-171			
	153	U.S. Patent Application Serial No. 12/360,537, filed January 27, 2009, entitled "Database for a Capture System," Inventor(s) Rick Lowe et al. (P014-DIV)			
	154	U.S. Patent Application Serial No. 11/254,436, filed October 19, 2005, entitled "Attributes of Captured Objects in a Capture System," Inventor(s) William Deninger et al.			
	155	U.S. Patent Application Serial No. 12/472,150, filed May 26, 2009, entitled "Identifying Image Type in a Capture System," Inventor(s) William Deninger et al.			
	156	U.S. Patent Application Serial No. 11/900,964, filed September 14, 2007, entitled "System and Method for Indexing a Capture System," Inventor(s) Ashok Doddapaneni et al.			
	157	U.S. Patent Application Serial No. 12/171,232, filed July 10, 2008, entitled "System and Method for Data Mining and Security Policy Management," Inventor(s) Weimin Liu et al.			
	158	Microsoft Outlook, Outlook, copyright 1995-2000, 2 pages			

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	